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CT CORPORATION SYSTEM
ATTN: TIMOTHY ROBERSON
17 S. HIGH ST., SUITE 1100
COLUMBUS, OH 43215

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, J. Kenneth Blackwell

1624579

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
**ERIC TOLBERT & ASSOCIATES, A FINANCIAL ADVISORY PRACTICE OF AMERIPRISE
FINANCIAL SERVICES**

Document(s)

FICTITIOUS NAME/ORIGINAL FILING

Expiration Date: 05/19/2011

Document No(s):

200614200234

ERIC TOLBERT
THE HANNA BUILDING, SUITE 956
1422 EUCLID AVENUE
CLEVELAND, OH 44115



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 19th day of May, A.D.
2006.

J. Kenneth Blackwell
Ohio Secretary of State



Prescribed by **J. Kenneth Blackwell**

Ohio Secretary of State
Central Ohio: (614) 466-3910
Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.state.oh.us/sos

e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)	
Mail Form by one of the following:	
☒ Yes	PO Box 1390 Columbus, OH 43216 --- Requires an additional fee of \$100 ---
☐ No	PO Box 670 Columbus, OH 43216

NAME REGISTRATION
(For Domestic/Foreign Profit or Non-Profit)
Filing Fee \$50.00

THE UNDERSIGNED HEREBY STATES THE FOLLOWING:

(CHECK ONLY ONE (1) BOX)

(1) ☐ Trade Name (167-RNO) Date of first use _____ MM/DD/YYYY	(2) ☒ Fictitious Name (169-NFO)	(3) Name Reservation (160-NRO) ☐ Original ☐ Renewal Registration No. _____
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Complete the information in this section if box (1) or (2) is checked.

The exact name being registered or reported is

Eric Tolbert & Associates, A financial advisory practice of Ameriprise Financial Services

The Registrant Is (Check Appropriate Box)

☒ Individual	☐ Foreign Corporation incorporated in the state of _____ holding Ohio license no. _____
☐ Limited Partnership: Reg. No. _____	☐ Unincorporated Association
☐ Ohio Limited Liability Co., Reg. No. _____	☐ Foreign Limited Liability Co. holding Ohio Reg. No. _____ organized in the state of _____
☐ Ohio Corporation, Charter No. _____	
☐ General Partnership	
☐ Other _____	

The name of the registrant designated above is

Eric Tolbert

NOTE: Where the registrant is a partnership, the name of the partnership must appear on this line. If the registrant is a foreign corporation licensed in Ohio under an assumed name, both the assumed name and actual corporate title of such corporation must appear on this line.

The business address of the registrant is

The Hanna Building, Suite 956, 1422 Euclid Avenue

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

Cleveland

(City)

Cuyahoga

(County)

OH

(State)

44115

(Zip Code)

Complete the information in this section if box (1) or (2) is checked Cont.

Complete only if registrant is a general partnership
NAME OF ALL GENERAL PARTNERS

COMPLETE RESIDENTIAL ADDRESSES (including zip code)

NOTE: Pursuant to OAG 89-081, if a general partner is a foreign (out-of-state) corporation, it must be licensed to transact business in Ohio; if a general partner is a foreign corporation licensed in Ohio under an assumed name, please note both the assumed name and actual corporate title of such general partner.

The nature of the business conducted by the registrant under the trade or fictitious name is (please be specific)

Financial advisors offer financial products and services to help clients identify and then meet their unique financial concerns and objectives.

Complete the information in this section if box (3) is checked.

- ☐ Please reserve the name listed below. (only one name per form)
- ☐ Please reserve the first name available in the order of my preference.

I understand that I am not guaranteed the reservation UNTIL I RECEIVE WRITTEN CONFIRMATION FROM THE SECRETARY OF STATE'S OFFICE STATING THAT THE NAME HAS BEEN REGISTERED TO ME

The name reservation is valid for a period of 180 days.

(First Choice)

(Second Choice)

(Third Choice)

(Applicant)

(Print Name)

(Address)

(City, State and Zip Code)

REQUIRED
 Must be authenticated (signed)
 by an authorized representative
 (See Instructions)


 Authorized Representative

May 02, 2006
 Date

Authorized Representative

Date